

# How to mix spatial and spectral information when processing hyperspectral images

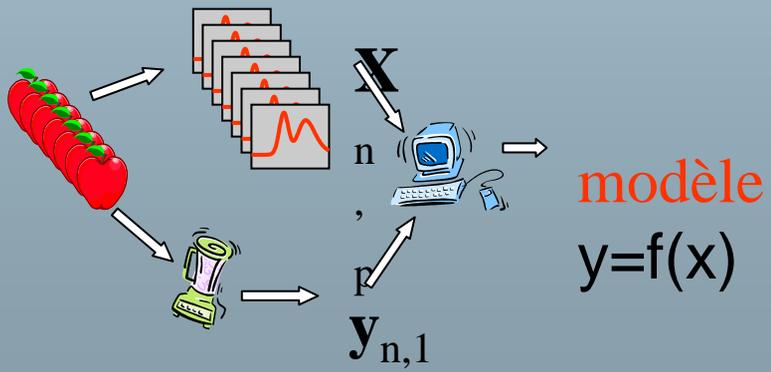
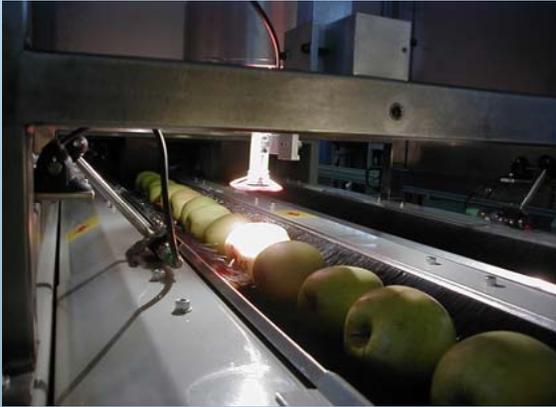
Gilles Rabatel  
Cemagref, France

# Presentation summary

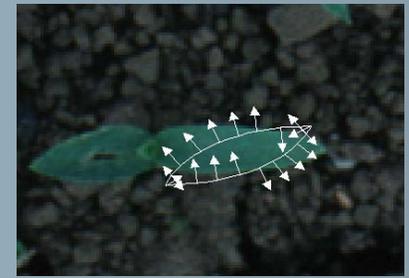
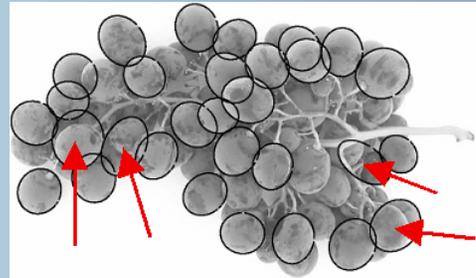
- ⇒ Introduction: Hyperspectral images and spatial information
- ⇒ Markov Random Fields
- ⇒ Split-and-merge and Unin-Find strategies
- ⇒ Anisotropic diffusion
- ⇒ Conclusion

# Hyperspectral imaging at Cemagref

## Spectrometry & chemometrics (J-M Roger et al)



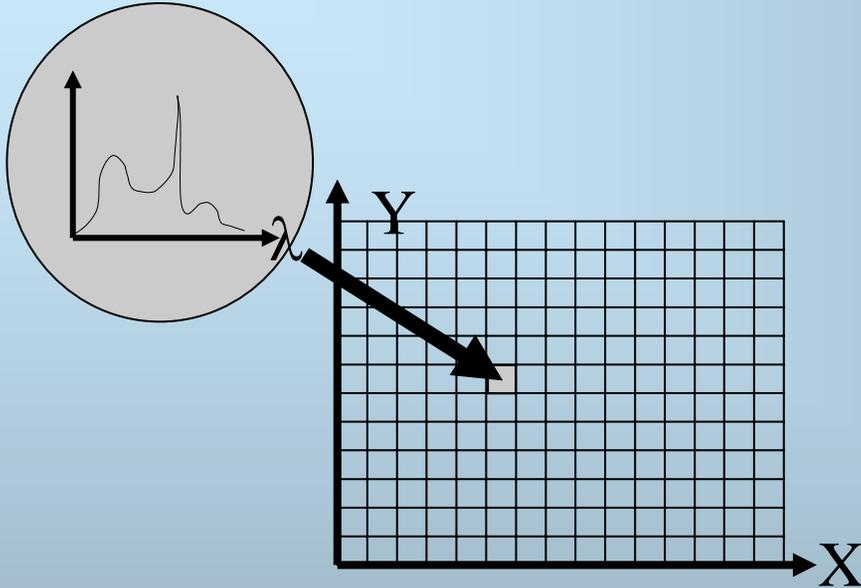
## Image processing (G. Rabatel et al)



## « Proximity » hyperspectral imaging



# Hyperspectral imaging in a few words



⇒ Compared to standard imagery:  
➤ More pixel information → better classification potential

⇒ Compared to spectrometry:  
➤ Spatial mapping of the data

⇒ Some applications:

- Image segmentation (urban areas, forests, etc.)
- Object detection (e.g. military applications)
- Mapping of chemical components (pharmacy, biology, geology...)

# The basics of hyperspectral processing

## ⇒ Two main approaches

- Supervised learning:  
output/spectra relationship learned from examples
- Unsupervised processing:  
clusterisation, pure spectra extraction...

## ⇒ A common requirement: model parcimony

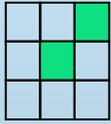
- Looking for a data subspace that keeps class separability  
PLS (supervised) ; PCA, projection pursuit (unsupervised)

# The present situation

- ⇒ In almost cases, **pixel-based** processing
  - Pixels are just considered as a large set of samples
  - Their neighbourhood relations are not taken into account:
    - neither in the calibration step
    - nor in the classification step
  - A spatial mixing of the pixels would lead to the same processing and the same results
  
- ⇒ A simple question: can we do better by considering pixels as « picture elements », not only as samples ?

# Spatial relationships

Basic notion:  
neighbourhood



Texture



Homogeneity



**Region:**  
set of connected pixels  
with the same attributes  
(level or texture)

Shape



# Homogeneity constraint

- ⇒ Every pixel in the image have a high *a priori* probability to have spectral attributes close to its' neighbour ones
  
- ⇒ Can be used to:
  - overcome classification ambiguities
  - remove erroneous pixels (outsiders)
  - Improve pixel clusterisation

# Example 1: Markov Random Fields (MRF)

# Markov Random Field: definition

- ⇒ Let us consider a 2D image  $I(x,y)$   
( $I$ : attribute vector, attribute scalar, or label)
- ⇒ Let us consider  $p( I(i,j) = x_s \ / \ I(k,l) \{k \neq i, l \neq j\} )$   
Probability for the pixel  $(i,j)$  to have a value  $x_s$ , knowing every other values in the image

If  $I(x,y)$  is a Markov Random Field, this probability only depends on  $(i,j)$  neighbourhood, and can be written as:

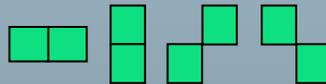
$$p(x_s) = \frac{1}{Z} \exp(-\sum_C V(C))$$

- ⇒  $Z$ : normalisation constant
- ⇒  $V(C)$ : potential of the clique  $C$
- ⇒  $C$ : clique or neighbourhood configuration

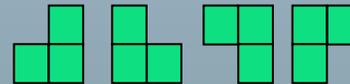
Cliques:



1st order



2nd order



3rd order



4th order

**Can be used for texture modelisation**

# MRF for homogeneity regularisation

The Potts model: limited to cliques of 1<sup>st</sup> and 2<sup>nd</sup> orders

⇒ First order potential:

$$V(C1) = \text{Log} [ \text{Pr}(x_s / S) ]$$

$\text{Pr}(x_s / S)$ : probability to have a label  $x_s$  for a spectrum  $S$

Gaussienne hypothesis: 
$$V(C1) = (S - \mu_{x_s})^T \cdot \text{COV}^{-1} \cdot (S - \mu_{x_s})$$

⇒ Second order potential:

$$\begin{aligned} V(C2) = V(x_s, x_t) &= -\beta \text{ if } x_s = x_t \\ &= +\beta \text{ if } x_s \neq x_t \end{aligned}$$

$\beta$  parameter: tuning of the regularisation effect

# MRF implementation

⇒ The problem: to find the output image corresponding to the maximal probability  $\text{pr}(x_s)$  for every pixel

➤ Simulated annealing (optimal, heavy computation)

- Introduction of a temperature parameter
- Slow decreasing of T to zero.

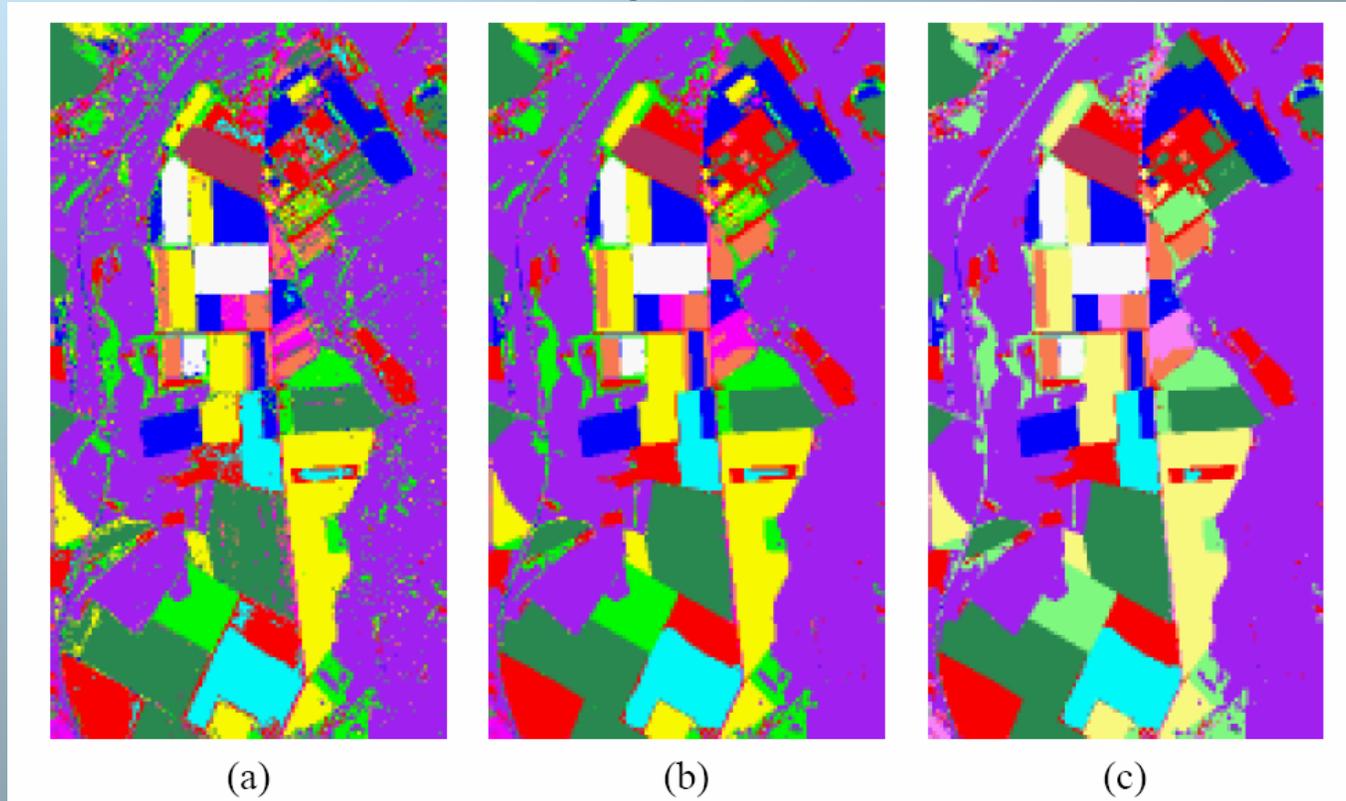
$$p(x_s, T) = \frac{1}{Z(T)} \exp\left(-\frac{\sum_C V(C)}{T}\right)$$

➤ Algorithm ICM (sub-optimal, faster)

- 
- Image scanning
  - For each pixel, setting of the  $x_s$  value with the higher probability  $\text{pr}(x_s)$
  - Re-iteration until a stationary state is reached

# Hyperspectral example (Prony et al, 2000)

## ICM regularisation



a) No regulation

b)  $\beta = 3$

c)  $\beta = 5$

# Unsupervised segmentation based on split-and-merge

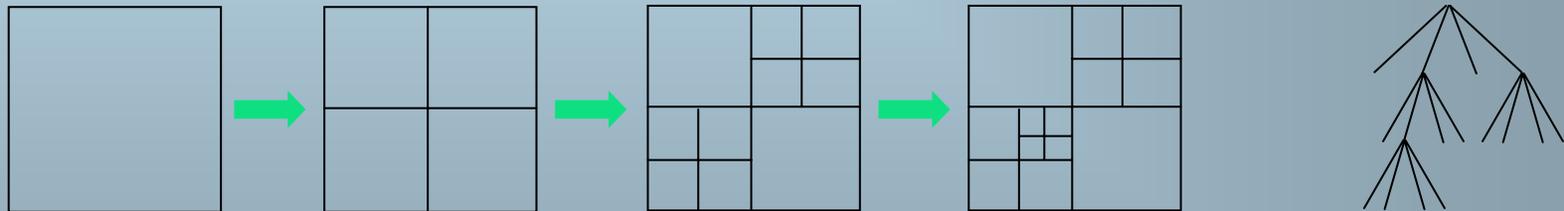
N. Gorretta, Cemagref  
(under development)

# Basic principles

⇒ Objective: to partition the hyperspectral image in a set of homogeneous regions

⇒ Splitting process:  
Building of a quad-tree

- Root = initial image
- For each tree node: children nodes are created as long as the subimage variance is too high



⇒ Merging process:

Adjacent regions are merged if the distance between their average pixel values is below a threshold

# Implementation

## ⇒ Before the split-and-merge segmentation

- a PCA is made on the spectral data of the subregion
- Score images are builded using a grey-level renormalisation
- Subregion variances (split) and Euclidian distances (merge) are computed using the multi-dimensional set of scores

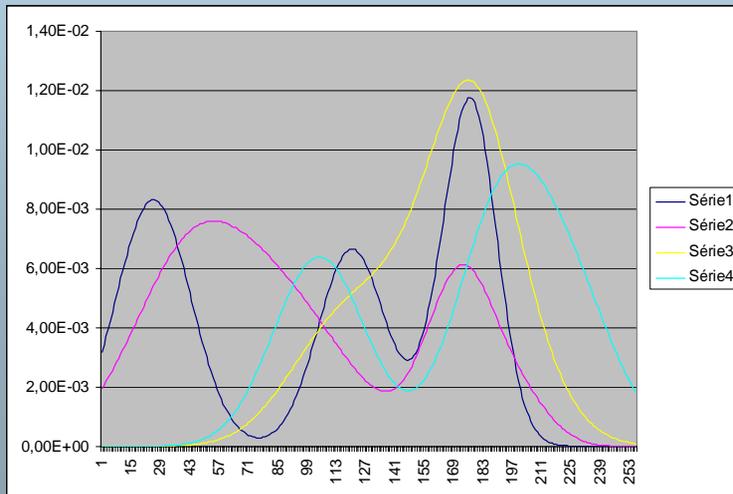
## ⇒ Local segmentation refinement

- For each resulting sub-region, the entire process is launched again (PCA computation + split-and-merge process)
- Refinement is stopped when no more subregions are created
- This allows a hierachical image segmentation, where the user can tune the level of segmentation detail required.

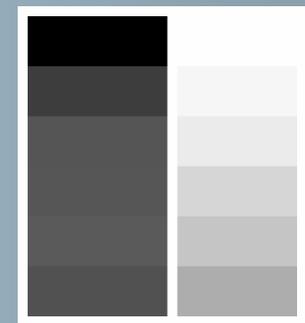
# Results: synthetic test image

## ⇒ The test image

- Four different spectra have been generated using a random process
- A synthetic image has been built, using a particular concentration pattern



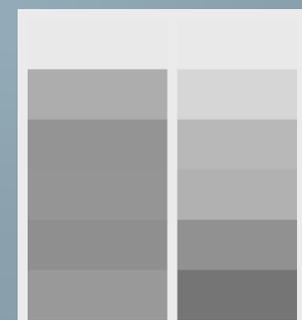
C1



C2

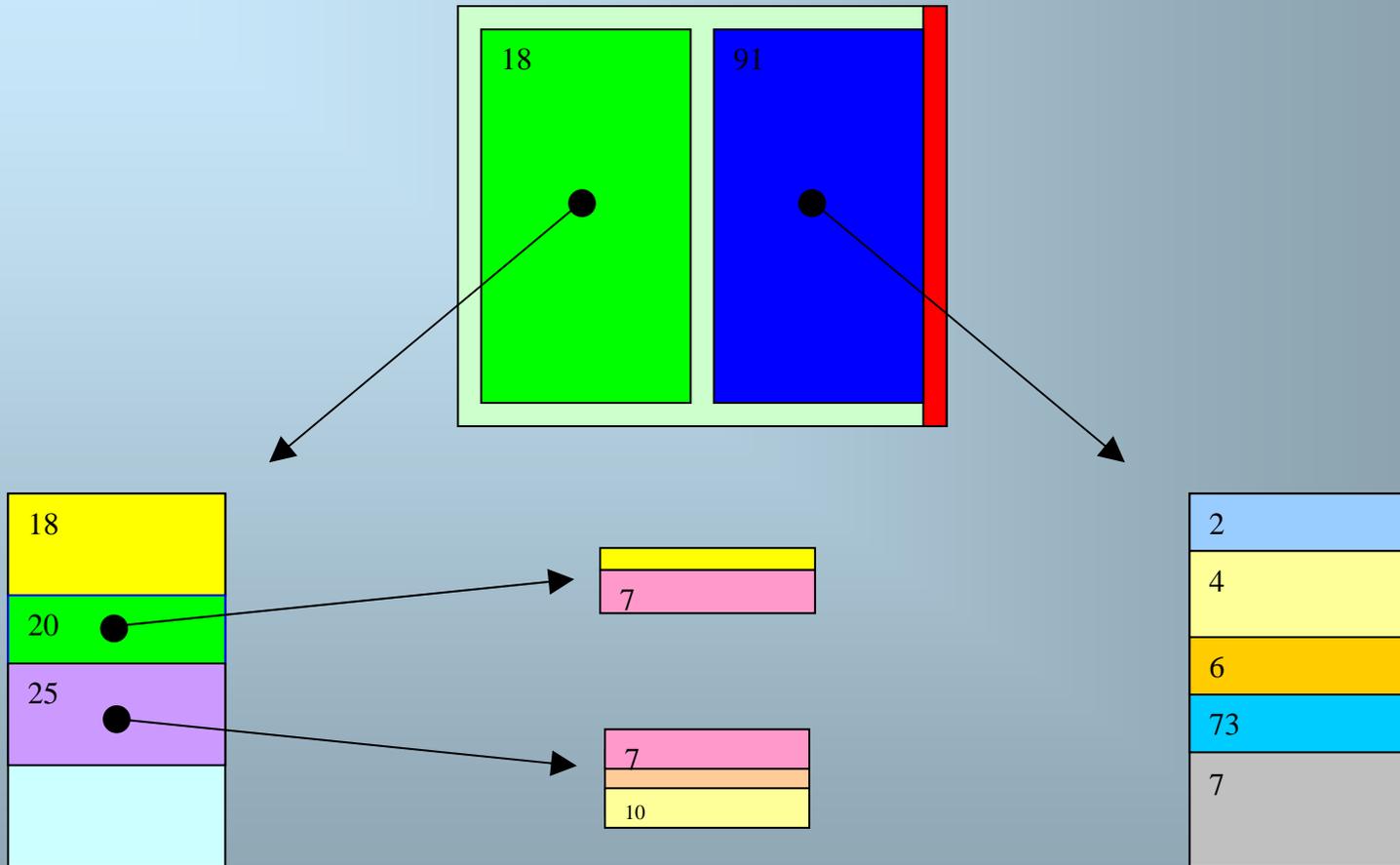


C3



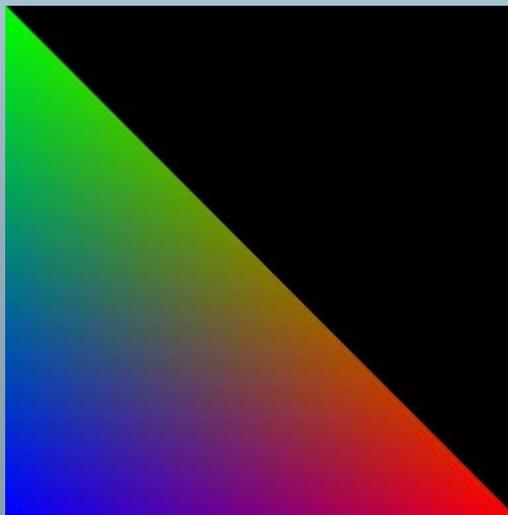
C4

# Results: image segmentation

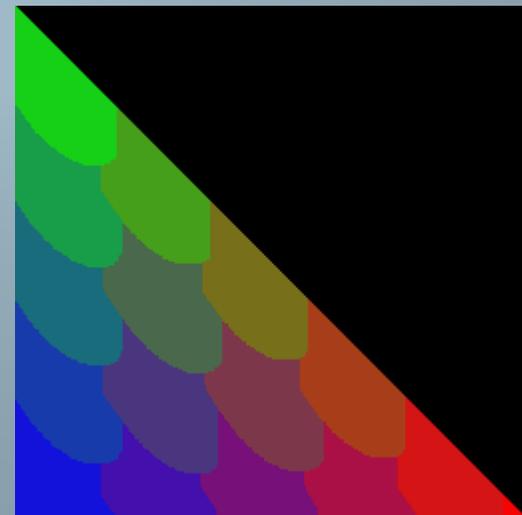


# Alternative: Union-Find algorithm (Fiorio, 1996)

- ⇒ Starting with a region associated to each pixel
- ⇒ Image scanning, and comparison of each pixel (region) with the pixel (region) above and on the right.
- ⇒ Merging condition: euclidian distance  $< S$

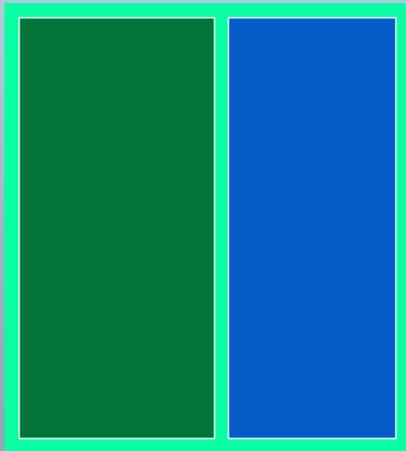


$S = 40$

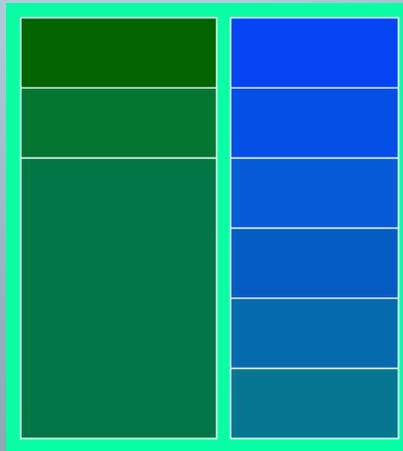


# Union-Find result

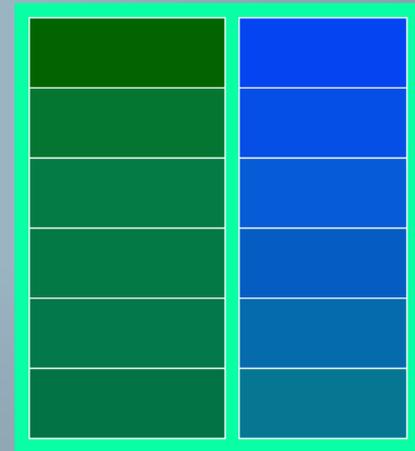
Application to the PC score vector  
(after normalisation 0-255)



$S = 100$



$S = 10$



$S = 2$

# Anisotropic diffusion

# Basic principle (Perona & Malik, 1990)

- ⇒ Objective: to smooth an image (noise reduction) while preserving edges
  
- ⇒ Method:
  - Implementation of the Gaussian smoothing as an iterative process, using PDE formalism (PDE: Partial Derivative Equation)
  - Local attenuation of the iterative smoothing in high gradient areas

# Isotropic diffusion

⇒ Let us consider the temporal equation for the image  $I(x,y)$ :

$$\frac{\partial I(x,y)}{\partial t} = \text{div}(\nabla I) = \Delta I \quad \ll \text{Heat equation} \gg$$

⇒ The equation solution is a temporal gaussian filtering:

$$I(x,y,t) = I(x,y,t_0) * G(x,y,t) \quad \text{where:} \quad G(x,y,t) = \frac{1}{4\pi t} \exp\left(-\frac{(x^2+y^2)}{4t}\right)$$

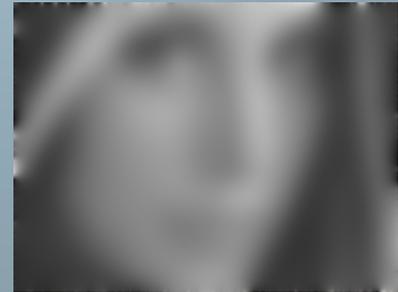
Gaussian with a variance  $\sigma^2 = 2 t$



Original image



30 iterations



100 iterations

# Anisotropic diffusion

⇒ Introduction of a function  $g(\nabla I)$  so that:

➤  $g(\nabla I) \approx 0$  if  $\nabla I$  high

➤  $g(\nabla I) \approx 1$  if  $\nabla I$  low

e.g:

$$g(\nabla I) = \exp(-\nabla I^2)$$

$$\frac{\partial I(x, y)}{\partial t} = \text{div}(g(\nabla I) \cdot \nabla I)$$



Original image



30 iterations



100 iterations

# Extension to vectoriel data

⇒ The notion of gradient  $\nabla I$  has to be redefined

## Di Zenzo analysis (Di Zenzo, 1986)

$$\|dI\|^2 = \begin{bmatrix} dx \\ dy \end{bmatrix}^T \begin{bmatrix} g_{11} & g_{12} \\ g_{21} & g_{22} \end{bmatrix} \begin{bmatrix} dx \\ dy \end{bmatrix} \quad \text{where} \quad g_{ij} = \left\langle \frac{\partial I}{\partial x_i}, \frac{\partial I}{\partial x_j} \right\rangle$$

Maximum and minimum vectorial variations (eigen values of  $[g_{ij}]$ ) :

$$\begin{cases} \lambda_+ = g_{11} + g_{22} + \sqrt{(g_{11} - g_{22})^2 + 4g_{12}^2} \\ \lambda_- = g_{11} + g_{22} - \sqrt{(g_{11} - g_{22})^2 + 4g_{12}^2} \end{cases}$$

⇒ Then:

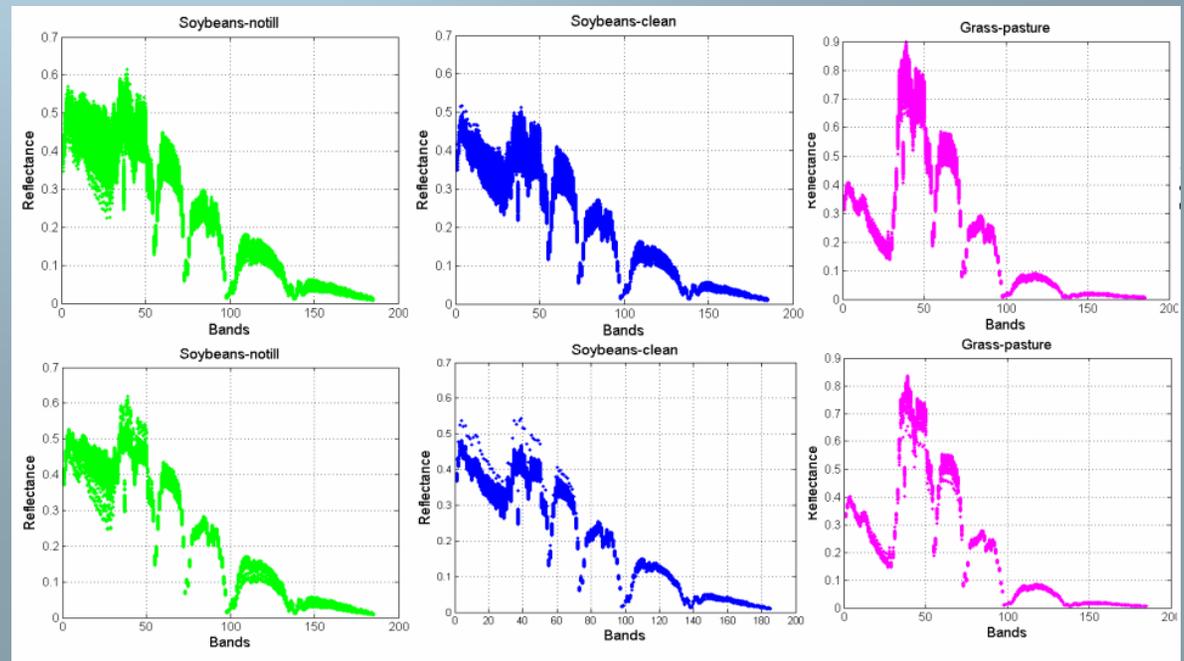
- $|\nabla I| = \sqrt{\lambda_+}$  (Di Zenzo)
- $|\nabla I| = \sqrt{(\lambda_+ - \lambda_-)}$  (Sapiro)

# Hyperspectral example (Velez-Reyes, 2006)

Anisotropic  
diffusion



Reduction of the  
spectra deviation



# Conclusion

⇒ Taking into account the spatial relationships between hyperspectral imaging pixels can help in :

- Reduction of classification errors
- Unsupervised segmentation
- Spectral noise reduction

⇒ An apparent paradox

- « Classical » hyperspectral processing requires a very accurate instrumental calibration
- Image processing tools have been developed for years to overcome image signal inaccuracy (8 bits signal level, lighting variations, etc.)

Can spatial information reduce hyperspectral calibration requirements ?

- Unsupervised clusterisation merging smooth spectral variations
- Chemometric modelisation adapted to these «deviating » clusters (e.g. EPO (J-M Roger, 2003) .....

# References

- ⇒ Duarte-Carvajalino, Julio M.; Vélez-Reyes, Miguel; Castillo, Paul. *Scale-space in hyperspectral image analysis*. Algorithms and Technologies for Multispectral, Hyperspectral, and Ultraspectral Imagery XII. Edited by Shen, Sylvia S.; Lewis, Paul E.. Proceedings of the SPIE, Volume 6233, pp. 623315 (2006).
- ⇒ Fiorio, C. and J. Gustedt, *Two linear time Union-Find strategies for image processing*. Theoretical Computer Science, 1996. **154**(1996): p. 165-181.
- ⇒ Perona, P. and J. Malik, *Scale-space and edge detection using anisotropic diffusion*. IEEE Transactions on Pattern Analysis and Machine Intelligence, 1990. **12**(7): p. 629-639.
- ⇒ Prony, O., Descombes, X., Zerubia, J. Classification d'images satellitaires hyperspectrales en zone rurale et périurbaine. Rapport de recherche INRIA N°4008, septembre 2000.
- ⇒ Roger, J-M, Chauchard, F., Bellon-Maurel V. *EPO-PLS external parameter orthogonalisation of pls : Application to temperature- independent measurement of sugar content of intact fruits*. Chemometrics and Intelligent Laboratory Systems, 66-2 :191-204, 2003.